

On January 19, 2001, Applicant arranged for the hand delivery to the Group 3763 receptionist of the following documents, which are attached herewith in reduced-size, four-plex format as Exhibit A, and which are enclosed in full-size duplicate form for the convenience of the Examiner:

1. Transmittal for Information Disclosure Statement (4 pages);
2. Information Disclosure Statement (9 pages);
3. Form PTO 1449 (5 pages); and
4. Table of Contents (5 pages) for loose leaf notebooks containing copies of references.¹

As evidence that the items attached as Exhibit A were hand delivered in the above manner, attached at Exhibit B is a Certificate of Hand Delivery executed by agent of the undersigned, Noreen A. Fabean, and a return postcard both listing the documents attached as Exhibit A and stamped as received on January 19, 2001, by the Technology Center 3700 receptionist.

Despite the documented hand delivery described above, in the November 20 Interview, the Examiner indicated that the documents attached as Exhibit A were not in the file wrapper for this matter.

¹A pair of notebooks containing the seventy-seven (77) references listed on the Form PTO 1499 and the Information Disclosure Statement were hand delivered on January 19, 2001, contemporaneously with the above-listed documents.

A similar second pair of notebooks containing references were hand delivered by the undersigned to the Examiner at the November 20 Interview. The second pair of notebooks contained copies of the seventy-seven (77) references made of record in the hand delivery on January 19, 2001, as well as copies of the three (3) references submitted with the First Supplemental Information Disclosure Statement dated November 20, 2001 (hereinafter "the First Supplemental IDS"). The second pair of notebooks thus included a total of eighty (80) references and an enhanced Table of Contents that differs by the inclusion of the references made of record under the First Supplemental IDS from the Table of Contents included at Exhibit A and enclosed in full-size duplicate form.

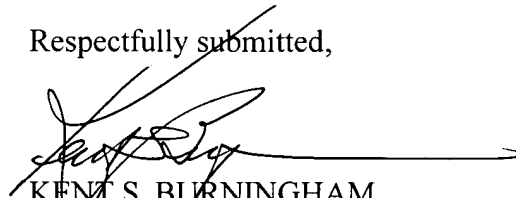
Therefore, in order to permit a study of the above-listed documents by the Examiner at an appropriate future time in the prosecution of this matter, this document and the Exhibits A and B attached hereto are being resubmitted to Examiner Williams according to the provisions of 37 C.F.R. § 1.8.

It is respectfully requested that the documents so submitted be entered into the file wrapper for the subject application, and that at an appropriate future time in the prosecution of this matter, that those documents receive the scrutiny of the Examiner.

The undersigned further declares that all statements made herein based on the knowledge of the undersigned are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful, false statements, and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the subject application or any patent that may issue therefrom.

DATED this 3rd day of February, 2004.

Respectfully submitted,


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Registration No. 30,453

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KSB/tlb

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
Gregory N. Nordgren)
)
For: CATHETER WITH VALVED DISTAL TIP) Art Unit
) 3763
Serial No.: 09/542,503)
)
Filing Date: April 3, 2000)
)
Examiner: Anh Tuan Nguyen)

CERTIFICATE OF HAND DELIVERY

I certify that the correspondence listed below is being hand delivered to Group Art Unit 3763
on January 19, 2001.



NOREEN A. FABEAN

Transmitted: Transmittal for Information Disclosure Statement (4 pages)
Information Disclosure Statement (9 pages)
Form PTO-1449 (5 pages)
Two (2) loose-leaf notebooks containing tabulated dividers, Table of Contents, and
copies of seventy-seven (77) references
Table of Contents (5 pages)
Postcard

Docket: 2408.3775US

KSB/jml
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RECEIVED
JAN 19 2001
TECHNOLOGY CENTER 3700



PATENT APPLICATION
Docket: 2408.3775US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
)	
Gregory N. Nordgren)	
)	
For: CATHETER WITH VALVED DISTAL TIP)	Art Unit
)	3763
Serial No.: 09/542,503)	
)	
Filing Date: April 3, 2000)	
)	
Examiner: Anh Tuan Nguyen)	

TABLE OF CONTENTS

References of Record
for
USSN 09/542,503
filed April 3, 2000
for
CATHETER WITH VALVED DISTAL TIP

The notebooks to which this is attached contains copies of references made of record in the prosecution of the above-referenced application.

TABLE OF CONTENTS

Vol. I
Tabs 1-44

References of Record
for
USSN 09/542,503
filed April 3, 2000
for
CATHETER WITH VALVED DISTAL TIP

A. U.S. Patent Documents

<u>Tab No.</u>	<u>U.S. Patent No.</u>	<u>Inventor(s)</u>	<u>Date of Issue</u>
1	1,719,428	Friedman	07/02/29
2	2,393,033	Ellinwood	01/15/46
3	2,616,429	Merenlender	11/04/52
4	3,020,913	Heyer	02/13/62
5	3,111,125	Schulte	11/19/63
6	3,131,712	Risley et al.	05/05/64
7	3,303,846	Ogle	02/14/67
8	3,525,357	Koreski	08/25/70
9	3,654,932	Newkirk et al.	04/11/72
10	3,734,126	Weaver	05/22/73
11	3,799,172	Szpur	03/26/74
12	3,865,666	Shoney	02/11/75
13	3,885,561	Cami	05/27/75
14	3,888,249	Spencer	06/10/75
15	3,995,617	Watkins et al.	12/07/76
16	4,003,398	Duveau	01/18/77
17	4,029,104	Kerber	06/14/77

(continued)

TABLE OF CONTENTS (continued)

Vol. I
Tabs 1-44

A. U.S. Patent Documents

<u>Tab No.</u>	<u>U.S. Patent No.</u>	<u>Inventor(s)</u>	<u>Date of Issue</u>
18	4,143,853	Abramson	03/13/79
19	4,240,434	Newkirk	12/23/80
20	4,327,722	Groshong et al.	05/04/82
21	4,341,239	Atkinson	07/27/82
22	4,431,426	Groshong et al.	02/14/84
23	4,434,810	Atkinson	03/06/84
24	4,475,898	Brodner et al.	10/09/84
25	4,529,399	Groshong et al.	07/16/85
26	4,549,879	Groshong et al.	10/29/85
27	4,559,046	Groshong et al.	12/17/85
28	4,601,697	Mammolenti et al.	07/22/86
29	4,657,536	Dorman	04/14/87
30	4,671,796	Groshong et al.	06/09/87
31	4,685,905	Jeanneret nee Aab	08/11/87
32	4,701,166	Groshong et al.	10/20/87
33	4,737,152	Alchas	04/12/88
34	4,753,640	Nichols et al.	06/28/88
35	4,973,319	Melsky	11/27/90
36	4,995,863	Nichols et al.	02/26/91
37	5,000,745	Guest et al.	03/19/91
38	5,004,455	Greenwood et al.	04/02/91
39	5,030,210	Alchas	07/09/91

(continued)

TABLE OF CONTENTS (continued)

Vol. II
Tabs 45-77

A. U.S. Patent Documents

<u>Tab No.</u>	<u>U.S. Patent No.</u>	<u>Inventor(s)</u>	<u>Date of Issue</u>
40	5,084,015	Moriuchi	01/28/92
41	5,085,635	Cragg	02/04/92
42	5,112,301	Fenton, Jr. et al.	05/12/92
43	5,147,318	Hohn	09/15/92
44	5,147,332	Moorehead	09/15/92
45	5,160,325	Nichols et al.	11/03/92
46	5,163,921	Feiring	11/17/92
47	5,180,364	Ginsburg	01/19/93
48	5,190,524	Wex	03/02/93
49	5,215,527	Beck et al.	06/01/93
50	5,224,938	Fenton, Jr.	07/06/93
51	5,250,034	Appling et al.	10/05/93
52	5,261,885	Lui	11/16/93
53	5,267,979	Appling et al.	12/07/93
54	5,290,263	Wigness et al.	03/01/94
55	5,304,155	Lui	04/19/94
56	5,522,807	Luther	06/04/96
57	5,554,136	Luther	09/10/96
58	5,573,509	Thornton	11/12/96
59	5,738,666	Watson et al.	04/14/98
60	5,776,096	Fields	07/07/98
61	5,800,411	Nakada et al.	09/01/98

(continued)

TABLE OF CONTENTS (continued)

Vol. II
Tabs 45-77

A. U.S. Patent Documents

<u>Tab No.</u>	<u>U.S. Patent No.</u>	<u>Inventor(s)</u>	<u>Date of Issue</u>
62	5,807,348	Zinger et al.	09/15/98
63	5,807,349	Person et al.	09/15/98
64	5,807,356	Finch, Jr. et al.	09/15/98
65	5,814,024	Thompson et al.	09/29/98
66	5,928,203	Davey et al.	07/27/99
67	5,984,903	Nadal	11/16/99
68	6,120,483	Davey et al.	09/19/00

B. Foreign Patent Documents

<u>Tab No.</u>	<u>Country</u>	<u>Grant No.</u>	<u>Publication Date</u>
69	Europe	191,154	08/20/86
70	Europe	328,332	08/16/89
71	Europe	351,864	01/24/90
72	Europe	864,336	09/16/98
73	France	2,707,505	01/20/95
74	Germany	3,724,978	02/09/89
75	Great Britain	727,959	04/13/55
76	PCT	WO 80/02230	10/30/80
77	PCT	WO 90/09204	08/23/90



PATENT APPLICATION #12
Docket: 2408.3775US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gregory N. Nordgren

For: CATHETER WITH VALVED DISTAL TIP

Serial No.: 09/542,503

Filing Date: April 3, 2000

Examiner: Anh Tuan Nguyen

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) Art Unit
) 3763
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TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Sir:

Transmitted herewith pursuant to 37 C.F.R. §1.97 for filing relative to the above-captioned United States patent application is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. §1.98:

- x Statement of relevance of selected cited references not in the English language which are not translated.
- Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
- Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. §120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. §1.98:

- ☒ Form PTO-1449 listing seventy-seven (77) references submitted for consideration.
- ☒ Copies of seventy-seven (77) of the references listed on the Form PTO-1449. The references are compiled in a set of two (2) loose-leaf notebooks numerically tabulated to correspond to the reference number of each in the above-mentioned Form PTO-1449. The notebooks include a Table of Contents for the entire set of references.
- ☐ Specimens constituting _____ () of the references listed on the Form PTO-1449.
- ☒ English translations of three (3) of the references listed on the Form PTO-1449 which are not in the English language.
- ☐ Copies of the following documents from the prosecution of a previous, related application:
 - ☐ Form PTO-1449 initialed by the Examiner; and
 - ☐ Form PTO-892

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods;

- I. ☒ Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action, as confirmed in a telephone conference conducted by the undersigned on January 17, 2001, with the Technical Center 3700 Customer Service Desk. Accordingly, no materials other than those listed above are enclosed.
- II. ☐ Following the later of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed or authorized:
 - ☐ Promptness Certificate; or

____ The submission fee set forth in 37 C.F.R. §1.17 (p) in the amount of _____ \$240.00 is one (1) of the following:

____ Enclosed in the form of Check No. _____; or

____ To be paid from the deposit account of the undersigned as authorized in Section C below.

III. ____ After the mailing of a final Office Action or a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed or authorized:

____ Promptness Certificate;

____ Petition for Consideration; and

____ The petition fee set forth in 37 C.F.R. §1.17(i)(l) in the amount of \$130.00 is one (1) of the following:

____ Enclosed in the form of Check No. _____; or

____ To be paid from the deposit account of the undersigned as authorized in Section C below.

IV. ____ After payment of the Issue Fee. Accordingly, in order to secure consideration thereof in a continuation application, no Information Disclosure Statement, no Form PTO-1449, and no copies of references are enclosed. Instead, each of the following are enclosed or authorized:

____ Petition to Withdraw from Issue; and

____ The petition fee set forth in 37 C.F.R. §1.17(i)(l) in the amount of \$130.00 is one (1) of the following:

____ Enclosed in the form of Check No. _____; or

____ To be paid from the deposit account of the undersigned as authorized in Section C below.

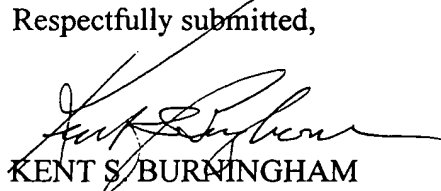
C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 20-1469.

- ☒ Any fee required in relation to filing of this letter or any documents transmitted therewith.
- ☐ The submission fee set forth in 37 C.F.R. §1.17(p) in the event that 37 C.F.R. §1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. §1.97(e)
- ☐ The submission fee set forth in 37 C.F.R. §1.17(p).
- ☐ The petition fee set forth in 37 C.F.R. §1.17(i)(l).
- ☒ Copies in duplicate of this letter are enclosed.

DATED this 18th day of January, 2001.

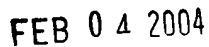
Respectfully submitted,


KENT S. BURNINGHAM
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Docket: 2408.3775US



3763

PATENT APPLICATION
Docket No.: 2408.3775US

In re application of:

Gregory N. Nordgren

For: CATHETER WITH VALVED DISTAL TIP

Art Unit

Serial No.: 09/542,503

3763

Filing Date: April 3, 2000

Examiner: Catherine Williams

CERTIFICATE OF MAILING BY “EXPRESS MAIL”

“Express Mail” Mailing Label No.: EV 325783050US

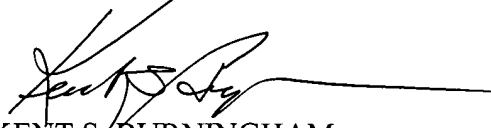
Date of Deposit: February 3, 2004

RECEIVED
FEB 09 2004
TECHNOLOGY CENTER, R3700

I hereby certify that this document together with the materials listed as transmitted below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450.

DATED this 3rd day of February, 2004.

Respectfully submitted,



KENT S. BURNINGHAM
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KSB/tlb

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Transmitted: Transmittal for Documents Previously Filed (3 pages) with copies of documents
hand delivered on 1/19/01 attached as Exhibits A and B
Transmittal for Information Disclosure Statement dated 1/18/01 (4 pages)
Information Disclosure Statement dated 1/18/01 (9 pages)
Form PTO 1449 (5 pages)
Table of Contents (5 pages)
Postcard